

PTO-1390 (Rev. 07-2005)

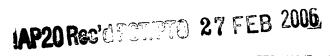
Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## ATTORNEY'S DOCKET NUMBER 2221.003A TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) (If known, see 37 CFR 1.5) U.S. APPLICATION NO. **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/US2004/027813 INTERNATIONAL FILING DATE 27 August 2004 PRIORITY DAT 28 August 2003 TITLE OF INVENTION TETHERED DIMERS AND TRIMERS OF 1,4-DIPHENYLAZETIDIN-2-ONES APPLICANT(S) FOR DO/EO/US Martinez et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 3. $\boxtimes$ The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). a. has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 6. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) $\boxtimes$ are attached hereto (required only if not communicated by the International Bureau). a. have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. d. A have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🗆 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT 10. 🗆 Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🛛 A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. ☐ A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 19. 🔲

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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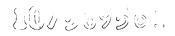
U.S. APPAICATI	ICATION NO ((Dinown Coef 7 CFR 1.5) INTERNATIONAL APPLICATION NO.		ON NO.	ATTORNEY'S DOCKET NUMBER 2221.003A				
101/002004/02/013								
20. Other items or information:								
The following fees are submitted:						CALCULATIONS	PTO USE ONLY	
21. 🛛 Basic national fee (37 CFR 1.492(a))						\$ \$300.00		
22. 🗵 Exami	nation fee (37 C	A 12						
If the written opineport prepared	by IPEA/US inc							
33(1)-(4) \$0 All other situations \$200						\$ _ \$200.00		
23. Search fee (37 CFR 1.492(b))								
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0								
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority								
International Search Report prepared by an ISA other than the US and provided to								
the Office or previously communicated to the US by the IB \$400  All other situations						\$ \$100.00		
TOTAL OF 21, 22 and 23 =						\$ \$600.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program								
listing an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets					ATE			
	thereof (round up to a whole number)							
72 - 100 =	0 /50 =		0	×	\$250.00	\$ \$0.00		
Surcharge of \$1		\$						
declaration after the date of commencement of the national stage (37 CFR 1.492(h)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE				··-	3			
Total claims	20	- 20 =	0	x	\$50.00	\$ \$0.00		
Independent	<del></del>	- 3 =	0	×	\$200.00	\$ \$0.00		
		_			360.00	\$		
Multiple Dependent Claims (if applicable) ☐ + \$360.00  TOTAL OF ABOVE CALCULATIONS =						\$ \$600.00	i	
☑ Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.						\$ \$300.00		
SUBTOTAL =						\$ \$300.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$		
TOTAL NATIONAL FEE =						\$ \$300.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +					\$	,		
TOTAL FEES ENCLOSED =						\$ \$300.00		
						Amount to be refunded:	\$	
						Amount to be charged:	\$	



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A duplicate copy of this sheet is enclosed.	nount of to cover the above fees.						
<ul> <li>The Commissioner is hereby authorized to charge any additional feto Deposit Account 08-1935. A duplicate copy of d. Fees are to be charged to a credit card. WARNING: Information on information should not be included on this form. Provide credit</li> </ul>	this sheet is enclosed. this form may become public. Credit card						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO: Philip E. Hansen Heslin Rothenberg Farley & Mesiti, P.C. 5 Columbia Circle Albany, New York 12203	SIGNATURE SIGNATURE						
Phone: (518) 452-5600 Fax: (518) 452-5579	Philip E. Hansen						
Docket No. 2221.003A Date: 2-27-06	NAME  32,700  REGISTRATION NUMBER						



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## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Applicant: Martinez et al.

Title: TETHERED DIMERS AND TRIMERS OF 1,4-DIPHENYLAZETIDIN-2-ONES

Attorney Docket No.: 2221.003A

"EXPRESS MAIL" Mailing Label No.: EV 768640258 US

Date of Deposit: February 27, 2006

## Enclosed are:

> One (1) Acknowledgment Postcard

- ➤ Certificate of Express Mailing Label No.: EV 768640258 US
- > Check in the amount of \$300.00 (Sm Entity Filing Fee)
- > Transmittal Letter under 35 U.S.C. 371 (3 pp)
- > Copy of Application as filed (72 pp)
- > Copy of International Application as filed (74 pp)
- > Preliminary Amendment (14 pp)
- ➤ Unsigned Declaration and Power of Attorney (3 pp)
- > Application Data Sheet (3 pp)

## 37 CFR 1.10 Certification

I hereby certify that this paper and the indicated enclosures are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Darlene Novak

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)